Donald F. Klein papers, circa 1960s-2005

Finding aid prepared by Kelly Besser in consultation with curator Russell Johnson and with assistance from Megan Hahn Fraser and Rebecca Bucher, 2013; machine-readable finding aid created by Caroline Cubé.

UCLA Library Special Collections for Medicine and the Sciences
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Finding aid last modified on 25 April 2016.
Title: Donald F. Klein papers
Collection number: 1242
Contributing Institution: UCLA Library Special Collections for Medicine and the Sciences
Language of Material: English
Physical Description: 36.8 linear ft. (34 record storage cartons, 4 document boxes and 6 half document boxes)
Date: circa 1960s-2005
Abstract: Donald Franklin Klein, Professor emeritus in the Department of Psychiatry at Columbia University College of Physicians and Surgeons, is a psychiatrist and researcher who revolutionized psychiatric thinking through his discovery in 1960 that imipramine, a recently-developed psychotropic medication, was effective in blocking panic attacks. The collection consists of correspondence, subject files, American College of Neuropsychopharmacology (ACNP) committee files, grant applications, research projects, publications, video and audiotapes, awards, certificates and biographical sketches related to Klein's work as a founder of modern psychopharmacology.
Language of Materials: Materials are in English.
Physical Location: Stored off-site at SRLF. Advance notice is required for access to the collection. Please contact the History and Special Collections for the Sciences, Louise M. Darling Biomedical Library Reference Desk for paging information.
Creator: Klein, Donald F., 1928-
Restrictions on Access
COLLECTION STORED OFF-SITE AT SRLF: Open for research. Advance notice required for access. Contact the History and Special Collections for the Sciences, Louise M. Darling Biomedical Library Reference Desk for paging information. Series 3, Boxes 28-32: Restricted access; apply to History and Special Collections for the Sciences, Louise M. Darling Biomedical Library at least one month in advance of a research visit, so confidential identification material may be redacted. Series 4, Boxes 35-39: Restricted access and use because of patient/subject names and personally identifiable information; this material is closed until further notice, pending consultation with campus counsel about policies and procedures for access and use.
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Preferred Citation
[Identification of item], Donald F. Klein Papers (Biomed Manuscript Collection Number 1242), UCLA Library Special Collections, History and Special Collections for the Sciences, Louise M. Darling Biomedical Library.
Provenance/Source of Acquisition
Donald F. Klein donated to Vanderbilt University Medical Library; Klein's papers and other American College of Neuropsychopharmacology (ACNP) collections were transferred to UCLA Library Special Collections, through the UCLA Neuroscience History Archives, on 1 July 2008. Klein's collection forms part of UCLA/ACNP History of Neuropsychopharmacology Archives.
Processing Information
Processed by Kelly Besser, with assistance from Rebecca Bucher, in consultation with curator Russell Johnson and under supervision of Megan Hahn Fraser, 2013.
Biography/History
Donald Franklin Klein was born in New York City on September 4, 1928. He attended the Bronx High School of Science, and received his Bachelor of Arts degree, magna cum laude, from Colby College in Waterville, Maine in 1947. He then attended the New York University Graduate Program in Biology from 1947-48 and received his M.D. degree from the State University of New York, College of Medicine in 1952.
After completing his psychiatric residency at Creedmor State Hospital, Dr. Klein became a Research Associate at the Creedmor Institute for Biological Studies. In 1959, he moved to Hillside Hospital where he eventually became Medical Director for Research and Evaluation and Psychiatrist-in-Chief of the affiliated Queens Hospital Center. Dr. Klein's 1960 discovery that the drug imipramine had an anti-panic effect not only led to helpful treatments, but also eventually defined a disorder and introduced the concept of the biological bases of psychiatric illness.
Dr. Klein joined the faculty of the Department of Psychiatry at the College of Physicians and Surgeons of Columbia University in 1976. He has served as Attending Psychiatrist at the Presbyterian Hospital and Director of Psychiatric
Research and Therapeutics at the New York State Psychiatric Institute. Since 1976, Dr. Klein has been a leading investigator of the phenomenology, biology and treatment of panic disorder.

Dr. Klein is considered a founder of modern psychopharmacology and the first to rigorously demonstrate the efficacy of antidepressants for panic disorder patients. He also co-authored the first textbook of clinical psychopharmacology, *Diagnosis and Drug Treatment in Psychiatric Disorders* in 1969. This book played a major role in the move towards systematic objective diagnosis in psychiatry. Additionally, he was a leading contributor to the third edition of the *Diagnostic and Statistical Manual of Mental Disorders* (DSM-III) in 1980, which ushered in the age of modern psychiatry.

He is Past President of the American College of Neuropsychopharmacology (ACNP), the National Foundation for Depressive Illness, Psychiatric Research Society, the American Psychopathological Association and the American Society of Clinical Psychopharmacology which he co-founded in 1992. Dr. Klein has held several federal grants and has also served as a federal consultant for a number of important agencies including the Neuropharmacology Advisory Committee of the Food and Drug Administration, the Center for Studies of Narcotic and Drug Abuse and the Clinical Psychopharmacology Study Section of the National Institute of Mental Health.

Dr. Klein’s scientific work has focused on the evaluation of psychiatric therapies, both psychotherapeutic and pharmacotherapeutic. He has contributed to psychiatric nosology and differential indications for treatment in the areas of panic disorder, agoraphobia, simple and social phobias, endogenomorphic and atypical depression, childhood asocial schizophrenia, attention deficit disorder and anxiety disorders. He is Professor emeritus in the Department of Psychiatry at Columbia University College of Physicians and Surgeons.

**Scope and Content**

The collection consists of correspondence, subject files, American College of Neuropsychopharmacology (ACNP) committee files, grant applications, research projects, publications, videotapes, audiotapes, awards, certificates and biographical sketches related to the scientific career of Dr. Donald F. Klein. It includes patient files related to Klein’s seminal drug therapy studies conducted at Long Island Jewish-Hillside Hospital during which he began exploring pharmacological solutions to treating panic disorders.

**Organization and Arrangement**

This collection has been arranged in the following series:

- Series 1: Correspondence and Subject Files.
- Series 2: American College of Neuropsychopharmacology Committee Files.
- Series 3: Grants.
- Series 4: Research Projects.
- Series 5: Publications.
- Series 6: Video and Audiotapes.
- Series 7: Awards, Certificates, Nominations and Biographies.

**UCLA Catalog Record ID**

UCLA Catalog Record ID: 7151631

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**Correspondence and Subject Files. 1990-2005.**

**Scope and Content**

The Correspondence and Subject Files series contains letters and copies of electronic mail between Klein and his academic and professional colleagues, corporations, editorial boards, publishers and professional organizations. Klein’s correspondence details conversations related to his research interests, publications and studies. Klein also interfiled subject files with his correspondence which remain arranged in their original order. The subject files include topics such as agoraphobia scale, bioethics, carbon monoxide study, clinical trials, Detroit Project, Freud rhetoric, New York Times, patents, Post controversy, psychotherapy, scientific misconduct, serotonin, thyroid and valproate. This series is organized alphabetically by correspondent or subject.
Box 1  
**A-Aw.**

**Scope and Content Note**
Correspondents include Dominick Abel, Association for Clinical Psychosocial Research (ACPR), Anxiety Disorders Association of America (ADAA), Vito Agosti, Agency for Health Care Policy and Research (AHCPR), Nancy C. Andreasen, David Antonuccio, American Psychiatric Association (APA), Archives of General Psychiatry and American Society of Clinical Psychopharmacology (ASCP).

Box 2  
**B-Bu.**

**Scope and Content Note**
Correspondents include James C. Ballenger, Jack D. Barchas, David Barlow, Marco Battaglia, Maria Isabel Behrens, Nancy Berezin, Floyd Bloom, Naomi Breslau, Gerald Bruder, David Burns and Hester Butterfield.

Box 3  
**C-Cop.**

**Scope and Content Note**
Correspondents include Anne Cameron, Bernard J. Carroll, Giovanni B. Cassano, Steve Chan, Tim Chapman, Ciba-Geigy, Collegium Internationale Neuro-Psychopharmacologicum (CINP), David C. Clark, Lee S. Cohen, Pat Cohen, Joseph Collins, Tom Cooper and Jeremy Coplan.

Box 4  
**Cor-Det.**

**Scope and Content Note**
Correspondents include Alicia Courad, James Coyne, Clinical Research Centers (CRC), Timothy Crowe, Current Medicine (CM), Jay B. Cutler, Antonio Damasio, Karina Davidson, Robert Davies and Sharon Davies.

Box 5  
**Det-Elk.**

**Scope and Content Note**
Correspondents include Douglas Sutherland Dodge, Bruce Dohrenwend, Regina Dolan-Sewell, Steve Donovan, Electronic Journal of Treatment Research and Irene Elkin.

Box 6  
**Elk-Fis.**

**Scope and Content Note**
Correspondents include Irene Elkin, Jean Endicott, Tanya Fabian, Janet Fairbanks, Brian Fallon, Jan Fawcett, Max Fink, Gerald Fishbach, Bernard Fisher and Mark Fisher.

Box 7  
**Fis-Fye.**

**Scope and Content Note**
Correspondents include Mark Fisher, Edna Foa, Patrick L. Furlong and Abby Fyer.

Box 8  
**Fye-Gua.**

**Scope and Content Note**

Box 9  
**Gut-Isl.**

**Scope and Content Note**
Correspondents include Lee Guthrie, Wilma Harrison, Orrin J. Hatch, Bob Hirschfield, Rudolph Hoehn Saric, Florian Holsboer, Philip S. Holzman, Steven Hyman, Sonia Iapichino and Interneuron Pharmaceuticals Incorporated.
<table>
<thead>
<tr>
<th>Box</th>
<th>Scope and Content Note</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Box 10</strong></td>
<td><strong>J-Kle.</strong></td>
</tr>
<tr>
<td><strong>Box 11</strong></td>
<td><strong>Kle-Lie.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include Jim Knowles, Ken Kobak, Helena C. Kraemer, David J. Kupfer, Matt G. Kushnir, Cindy Lah, Donald Landry, David Lane, Louis C. Lasagna, Eugene Laska, Philip W. Lavri, Seth Lederman, Barbara J. Leibowitz, Bill Leissner, Stefan Lerner, Alan I. Leshner, Andrew Levin and Michael R. Liebowitz. Materials also include one folder of Klein's &quot;Notes to Self.&quot;</td>
</tr>
<tr>
<td><strong>Box 12</strong></td>
<td><strong>Lie-Man.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include Michael Liebowitz, Scott Lilienfeld, Josh Lipsitz, Bruce Lydiard, Dean F. MacKinnon, Dolores Malaspina, Gael Malleret, Manic Depressive Association and John Mann.</td>
</tr>
<tr>
<td><strong>Box 13</strong></td>
<td><strong>Man-Naf.</strong></td>
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<tr>
<td><strong>Box 14</strong></td>
<td><strong>Nar-Nim.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include National Alliance for Research on Schizophrenia and Depression (NARSAD), National Association of Science Writers, National Foundation for Depressive Illness, Inc., National Bioethics Advisory Commission (NBAC), Charles B. Nemeroff, National Institute on Alcohol Abuse and Alcoholism (NIAAA), NIDA/VA Substance Abuse Medication Development Center and National Institute of Mental Health (NIMH).</td>
</tr>
<tr>
<td><strong>Box 15</strong></td>
<td><strong>Nim-Pap.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include NIMH, Anca Nitescu, G.R. Norton, Ned Nunes, David Nutt, John Oldham, Raphael Osheroff, Mortimer Ostow, Oxford University Press, Jaak Panksepp, Laszlo Papp and Steven Papp.</td>
</tr>
<tr>
<td><strong>Box 16</strong></td>
<td><strong>Par-Pri.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include Herbert Pardes, Morris Parloff, Michele Pergadia, Pfizer Pharmaceuticals, Harold Pincus, Dan Pine, Ian Pitchford, Robert Pohl, Mark Pollack, Josh Pollock, Stephen W. Porges, Maurice Preter, David Preven and Public Responsibility in Medicine and Research (PRIM&amp;R) .</td>
</tr>
<tr>
<td><strong>Box 17</strong></td>
<td><strong>Pro-R.</strong></td>
</tr>
<tr>
<td></td>
<td>Correspondents include Psychiatric Consultation Service, Myron L. Pulier and Fred Quitkin.</td>
</tr>
</tbody>
</table>
Correspondence and Subject Files. 1990-2005.

Box 18  Rab-Ros.
Scope and Content Note

Box 19  Rus-Sch.
Scope and Content Note
Correspondents include A. John Rush, Alan Schatzberg, Frank Schneier, Franklin Schneier, Nina Schooler, Bob Schuster and Gerri Schwartz.

Box 20  Sci-Sin.
Scope and Content Note
Correspondents include Stuart Seidman, David Shaffer, Francine Shapiro, Vera Sharav, Steven Shea, Tracy Shea, Katherine Shear, Javaid Sheikh, Anantha Shekhar, Elinor K. Shin and Smit Sinha.

Box 21  Sin-Ste.
Scope and Content Note
Correspondents include Smit Sinha, Marcia Slattery, Lauren Smith, Jessica Sonnenschein, Robert Spitzer, Larry Stanford, Hans Stassen, Dan Stein, Zena Stein and John W. Stewart.

Box 22  Stu-Val.
Scope and Content Note
Correspondents include Robert Stuckey, Bonnie Taylor, Michael Terman, Department of Therapeutics, Linda Timmons, Alvin Toffler, Elaine Tricamo, Frankie Trull, Peter Tyrer and Tom Uhde.

Box 23  Vel-Wak.
Scope and Content Note
Correspondents include Veteran's Administration, VivoMetrics, Leslie Vogel and Jerome Wakefield.

Box 24  Wak-Z.
Scope and Content Note
Correspondents include James Wakefield, Jane Weaver, Myrna Weissman, Paul Wender, Phyllis Wender, Georg Wiedemann, Alison West, Williams & Wilkins, Henny Wolland, World Psychiatric Association, Richard Wurtman, Yamanouchi Pharmaceutical Company, Rachel Yehuda and Vikram Yeragami.

American College of Neuropsychopharmacology Committee Files. 1994-2005.
Scope and Content
The American College of Neuropsychopharmacology (ACNP) Committee Files series includes copies of Klein's records from his involvement with this society. Materials include correspondence, reports, memoranda, drafts of ethical conduct statements, minutes, task force and committee membership lists and copies of Klein's statements for presentations. The ACNP, founded in 1961, is a U.S. professional society in brain, behavior and psychopharmacology research for which Klein, a Life Fellow, served as President and Chairman.

Box 25  ACNP Clinical Trials Workshop. 2005.
Box 25  ACNP-DOD. 1997.
Box 26  ACNP Ethics Committee. 1994.
American College of Neuropsychopharmacology Committee Files. 1994-2005.

Restrictions on Access

Boxes 28-32: Restricted access. Apply to Library Special Collections at least one month in advance of a research visit, so confidential identification material may be redacted.

Box 27
- ACNP Ethics Statement. 1996.
- ACNP Liaison Committee with Governmental Agencies and the Pharmaceutical Industry.


Scope and Content Note
The Program Project Grant (PPG) was funded by the National Institute of Mental Health (NIMH) beginning in 1983 and commencing in 1999. Klein served as the principal investigator for this Psychobiology/Genetics/Treatment of Anxiety Disorders project, a multidisciplinary, programmatic study of anxiety disorders. The project abstract explains, "The overall thrust is to both validate and refine the nosology of anxiety disorders using familial, developmental, epidemiologic, pharmacological challenge and molecular biologic criteria." These records include Klein's files for this project's final five years and include financial reports, memoranda, publications, progress report summaries, employee biographical sketches, correspondence and continuation grant applications.

Box 28: Year 10
Box 29: Year 11 and 12
Box 30: Year 13 and 14

Box 31

Scope and Content Note
Mental Health Clinical Research Center (MHCRC) Grant was funded by the National Institute of Mental Health (NIMH) beginning in 1978 and commencing in 1999. Klein served as the principal investigator for this project to develop a MHCRC at New York State Psychiatric Institute (NYSPI) for the multi-disciplinary study of anxiety disorders, anxiety symptoms and related disorders. The NIMH provided funding for 21 years. These records are Klein's files for the project's final four years and include financial reports, memoranda, personnel reports, progress report summaries, award notices, correspondence and continuation grant applications.

Box 33, Folders 1-3,
Box 32, Folder 1

Scope and Content Note
Memoranda, correspondence with the NIMH, and Conte Centers for the Neuroscience of Mental Disorders grant application materials concerning Klein's project entitled, "NYSPI Conte Neuroscience Center for Panic Disorder." According to Klein, "The proposed Center is an integrated neuroscience program aimed at deepening the current programmatic effort of the Columbia Panic Group to understand the development and pathophysiology of childhood separation anxiety and panic disorder." Materials also include articles by Klein and his colleagues on anxiety disorders and the significance of panic.

Box 32: Restricted access. Apply to Library Special Collections at least one month in advance of a research visit, so confidential identification material may be redacted.

Box 32, Folders 2-4

Scope and Content Note
Materials include applications for the Psychiatric Institute's Research Support Grant (PIRSG) submitted by investigators at the New York Psychiatric Institute for limited support for pilot studies, bridging funds and other research projects relevant to the research objectives of the Institute.

Donald F. Klein papers, circa 1960s-2005 1242 7
Research For Mental Hygiene, Inc. Grant. 2002.
Scope and Content Note
Klein's grant proposal for Psychobiology of IV Naloxone and Lactate in Normals project which investigates panic symptoms within a pilot study of 12 normal subjects with the goal of understanding the pathophysiology of the hallmark attack.

Box 32: Restricted access. Apply to Library Special Collections at least one month in advance of a research visit, so confidential identification material may be redacted.

National Institutes of Health Grant. 2002.
Scope and Content Note
Materials include Klein's grant application entitled, "Yoga Techniques and the Treatment of Panic Disorder." According to Klein, the overall goal of this proposed project is to determine whether a yoga-based stress reduction program can improve the symptomatology of panic disorder.

Interventional Research Center Grant. 1998.
Scope and Content Note
Grant proposal drafts by Klein and colleagues for a National Institute of Mental Health (NIMH) Core Grant for Enhancing Neuroscience Translation (CoGENT). Klein, Jack Gorman and Mike Liebowitz propose an Interventional Research Center (IRC) for the Treatment of Anxiety and Related Disorders at New York State Psychiatric Institute/Columbia University in order to investigate unresolved issues of critical clinical importance in the treatment of anxiety disorders.

Scope and Content
Boxes 35-39: Restricted access and use because of patient/subject names and personally identifiable information. This material is closed until further notice, pending consultation with campus counsel about policies and procedures for access and use.

Kirsch manuscript. 1998.
Scope and Content Note
Klein's research files on Irving Kirsch and Guy Sapirstein's article, "Listening to Prozac but Hearing Placebo: A Meta-Analysis of Antidepressant Medication" wherein Kirsch and Sapirstein address the problem of the relation of placebo effect to drug effect by presenting a meta-analysis of 19 double-blind placebo-controlled clinical trials. Klein critiques their conclusion that any apparent drug effect may actually be an active placebo effect as "problematic for those that believe that antidepressant medication has made a major contribution to the treatment of the mentally ill."Materials include Klein's correspondence, collection of research articles, notes and drafts of response articles, "Listening to Meta-Analysis But Hearing Bias" and "Reply to Kirsch's Rejoinder re: Antidepressant Meta-Analysis."

Treatment of Depression Collaborative Research Program. circa 1980s.
Scope and Content Note
The National Institute of Mental Health (NIMH) Treatment of Depression Collaborative Research Program (TDCRP) was the first multisite coordinated study initiated by the NIMH in the field of psychotherapy research. Three research sites, using an identical research protocol, investigated the effectiveness of two forms of brief psychotherapy (cognitive behavior therapy and interpersonal psychotherapy) in the treatment of outpatient depression. Materials include case files, correspondence and Klein's comments and summaries of cases which include his assessments of patient response to psychotherapy and pharmacotherapy treatment.

| Boxes 35-38 | **Drug Therapy Studies (Restricted). circa 1960s.**  
|-------------|--------------------------------------------------  
| **Scope and Content Note** | A portion of patient files from two Drug Therapy Studies conducted at Long Island Jewish-Hillside Hospital. As a research psychiatrist at Hillside, Klein began exploring pharmacological solutions to treating panic disorders. Klein calls the method he devised in the early sixties at Hillside "pharmacological dissection." This led to a new method of psychiatric diagnosis based on scientific observation rather than Freudian theories. Files are organized alphabetically by patient's last name.  
| **First Study:** Box 35 includes files Coh-Fio and Box 36 includes files Gan-Fas. Second Study: Box 37 includes files Ber-Coh and Box 38 includes files Dan-Fri. |

| Box 39 | **Consensus Summary SADS-LA Narratives (Restricted). 1984-1985.**  
|---------|--------------------------------------------------  
| **Scope and Content Note** | Diagnostic summaries and narratives for patients who were administered the Schedule for Affective Disorders and Schizophrenia - Lifetime Anxiety version. |


| Box 40 | **Research articles.**  
|--------|--------------------------------------------------  
| **Scope and Content** | Scientific research articles collected by Klein or authored by Klein. Materials by Klein include "New Ideas for Testing Psychiatric Treatments - What's Wrong and How to Fix Impaired Discovery and Fact-Poor Practice," "Dealing with the Effects of Therapy Allegiances," "Bipolar Disorder," "Uses of MAO Inhibitors for Borderline Patients" and "Physiology and the Anxiety Disorders." |

| Box 41 | **Klein articles.**  
|--------|--------------------------------------------------  
| **Scope and Content** | Materials include articles authored by Klein and articles by Klein and colleagues such as "Efficacious and Safe Psychotropics Not Available in the United States," "Lactate Provocation of Panic Attacks," "Evidence for Genetic Linkage Between a Polymorphism in the Adenosine 2A Receptor and Panic Disorder" and "Harmful Dysfunction, Disorder, Disease, Illness, and Evolution." Correspondence between Klein, colleagues and publishers is also included. |

| Box 42 | **Depression in Primary Care Site Manual. 1995.**  
|--------|--------------------------------------------------  
| **Scope and Content** | Copy of Depression in Primary Care Site Manual distributed to participants of the Care Study Investigator Meeting held in Madison, Wisconsin. |

### Video and Audiotapes. 1975-1997, and undated.

| Box 43 | **Audiotapes.**  
|--------|--------------------------------------------------  
| **Scope and Content** | Eleven audiotapes of lectures and presentations from meetings and conferences. Titles include the following: "Depressive Subtypes:Treatment and Diagnostic Implications," "Treatment and Outcome of Major Depression," "Diagnosis and Comorbidity of Panic Disorder" and "Anxiety, Dissociative and Somatoform Disorders." |

| Box 43 | **Videotapes.**  
|--------|--------------------------------------------------  
| **Scope and Content** | Thirty-five videotapes of presentations, interviews and talks by Klein and colleagues. Videotapes featuring Klein include the following titles: "Anxiety and Depression: Issues in Outpatient Psychiatry," "Anxiety Disorders," "Spontaneous Panic Attacks," "Pharm. Treatment of Borderline Patients" and "Human Medicine Show #1." |
Awards, Certificates, Nominations and Biographies. 1986-2005.

Box 44, Folder 1  
**Salmon Award. 1993.**  
Scope and Content Note  
Klein received the Thomas W. Salmon Award from The New York Academy of Medicine.

Box 44, Folder 2  
**The American Society of Clinical Psychopharmacology, Inc. Certificate. 1994.**  
Scope and Content Note  
This certificate attests that Klein is a member in good standing through December 31, 1994.

Box 44, Folder 3  
**Nominations and applications. 1986-2005.**  
Scope and Content Note  
Nominations and applications for awards, honors and prizes.

Box 44, Folders 4-6  
**Biographical sketches.**  
Scope and Content  
Brief Klein biographies used for grants, award applications and publications.