Hans H. Baerwald papers, 1945-1991

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Descriptive Summary

Title: Hans H. Baerwald papers
ハンズ・H. ベアワルド 論文・書類資料集

Date (inclusive): 1945-1991

Collection number: 531

Creator: Baerwald, Hans H.
ハンズ・H. ベアワルド.

Extent: 19.2 linear ft. (40 document boxes)
4宿箱 (書類保存箱)

Abstract: Hans Baerwald was a UCLA professor emeritus and internationally renowned scholar of Japanese politics. The collection consists of correspondence, Baerwald’s master publication file, lecture notes, professional organization and conference files and research files on subjects such as the purge, the Lockheed case, elections, the economic bubble, occupation policy, the Diet, Japanese Prime Ministers, and the Showa Emperor.

ハンズ・ベアワルド氏は日本政治学における国際的に権威のあるUCLAの教授であった。この論文・資料集は文通、出版物、講義記録、コンファレンスファイル、研究資料からなる。

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ハンズ・H. ベアワルド 論文・書類資料集 (Collection 531).

Biography/History
Hans H. Baerwald was born in Tokyo to German-Jewish parents on June 18, 1927. He attended The American School in Japan and became fluent in Japanese, German, French and English. The family immigrated to Berkeley, California in 1940 where Baerwald graduated from Berkeley High School and began course work at University of California, Berkeley.

At the age of 18, Baerwald left UC Berkeley when he was drafted into the U.S. Army and sent to the Army Japanese Language School. As a second lieutenant, he worked out of General Douglas MacArthur’s headquarters in Tokyo during the American occupation of Japan and participated in the political purge of Japanese leaders. Baerwald discusses this as a formative experience that shaped the remainder of his career and of which he wrote extensively.

He returned to UC Berkeley in 1949 and graduated in 1950 with an interdisciplinary major focused on Japan. Baerwald then entered Berkeley’s political science doctoral program, returned to Japan for his dissertation research, and obtained his Ph.D. in 1956. After the completion of his doctorate, Baerwald accepted a position as assistant professor of Asian Government and Politics at Miami University in Oxford, Ohio. He worked there until 1962 when he joined the Department of Political Science at UCLA where his teaching focused on Japanese studies courses until his retirement in 1991.

While at UCLA, Baerwald served on numerous committees, founded the Southern California Japan Seminar, and directed UCLA’s Education Abroad Program Study Center in Tokyo. He also directed the Japan Exchange Program (JEP) and its successor, the
Japan Research and Exchange Program (JAREP), for which he received a major endowment from the Japan Shipbuilding Industry Foundation.

Among his major publications are "The Purge of Japanese Leaders under the Occupation," "Japan's Parliament: An Introduction," and "Party Politics in Japan," all of which were also published in Japan. Prior to his retirement from UCLA, Baerwald was awarded the Order of the Sacred Treasure, Gold and Silver Star, by the Japanese government on behalf of the Emperor of Japan, in recognition of his contributions to the improvement of relations between the United States and Japan. Baerwald spent much of his retirement on his 80-acre Pope Valley ranch in northern California where he died on June 2, 2010.

Scope and Content
The collection consists of records related to the work of UCLA professor emeritus and internationally known scholar of Japanese politics, Hans H. Baerwald. Materials include correspondence, Baerwald's master publication file, lecture notes, professional organization and conference files and research files on subjects such as the purge, the Lockheed case, elections, the Economic Bubble, occupation policy, the Diet, Japanese Prime Ministers, and the Showa Emperor.

Organization and Arrangement
The collection is arranged based on Baerwald's existing order of materials.

Subjects and Indexing Terms
Baerwald, Hans H.--Archives.
University of California, Los Angeles--Faculty--Archives.
Political scientists--California--Los Angeles--Archives.
Japan--Politics and government.
Hans H. Baerwald papers, 1945-1991

Scope and Content Note

Box 1: Purge ordinances, research files on the history of the purge, correspondence, a copy of Baerwald’s request for his Federal Bureau of Information (FBI) file under the Freedom of Information Privacy Act, a copy of his FBI file, General Headquarters Supreme Commander for the Allied Powers Report of Government Section to Far Eastern Commission and copies of the Constitution of Japan and Cabinet Orders.


Box 4: Imperial Ordinances. File contains Baerwald’s signed copies of imperial ordinances with his annotations.

ボックス１：追放やその歴史における研究ファイル、情報公開法FBIファイルの請求書コピー、連合国軍最高司令官総司令部（GHQ）の民政局極東委員会報告書と日本国憲法及び開法、 Ameripulldobu “指揮者追放命令（仮定下日本政治史の一断面）” の写し、レイ・A.ムーア著「日本の皇室制度の救済」の草案、木下夫治著「公職追放令とその後」、ベアワルド著「随想文・報告書、選挙資料、選挙記事切り抜き、アメリカの見解、記事「追放はどのように日本を変えるか」、本「指導者追放命令（仮定下日本政治史の一断面）」なども含む。

ボックス２：連合国軍最高司令官総司令部（GHQ）民政局の状況報告書、日本の状況報告書やメモ、ベアワルド著「指導者追放命令（仮定下日本政治史の一断面）」の写し、レイ・A.ムーア著「日本の皇室制度の救済」の草案、木下夫治著「公職追放令とその後」、ベアワルド著「随想文・報告書、選挙資料、選挙記事切り抜き、アメリカの見解、記事「追放はどのように日本を変えるか」、本「指導者追放命令（仮定下日本政治史の一断面）」なども含む。

ボックス３：「国会組織と準備手続きと議員」、日本の組織と社会に関する報告書、論文「反民主主義的な集団の管理」、日本「公職追放の問題点と日本 時政和条約部」、公職追放についてのコンファレンス草案、「公職に関する就職禁止、退職等に関する勧告の規定による覚書該当者の指定の解除に関する」。

ボックス４：勧告。F サイはベアワルドのサインがいった勧告（注釈入り）を含む。
Box 4, Folders 2-4  OECD Social Sciences Policy in Japan files.
日本の OECD 社会科学政策ファイル 1974-1976
Scope and Content Note

ボックス４：日本の社会科学と政策における注釈 入り報告書、ベアワルド作 成OECD社会科学政策報告書「社会科学政策- 日本」、「社会科学政策- フランス」の複写。

Boxes 5-7 OECD Social Sciences Policy in Japan files.
日本の OECD 社会科学政策ファイル
Scope and Content Note
Box 5, 6, and 7 contain research files, reviews, and drafts. Additionally, Box 5 and 6 contain spiral notebooks with Baerwald's notes and correspondence concerning his preparation for the O.E.C.D.'s general report, "Social Science Policy—Japan."
Box 5: Transcript of meetings and "Governmental Advisory Commissions in Japan: A Survey."
Folder 7 includes Japanese materials: "University in the Popularization era" and "Think about Social Science Policy viewpoint" by Hiroshi Kida and English essay, and "Institute of Policy Science" by Saitama University written in 1975.

ボックス５、６、７は 研究ファイル、評論、草案などを含む。ボックス５、６は報告書「社会科学政策- 日本」の作成のための記録、書簡やメモなどを含む。
ボックス５：会議観察、「日本の政府諮問委員会：調査」、フォルダー３は日本語資料「人文・社会科学系の研究の現状とトリ・レビュー・コンフロ
ンション会議（51年6月15日）における予定討議問題（51年5月13日付け、OECD）及び回答書を含む。
ボックス６：OECD背景報告書 資料。フォルダー６は日本語資料「OECD社会科学政策カントリー・レビュー パックグラウンド・レポート作成草案」を含む。
ボックス７：OECD社会科学政策カントリー・レビュー 予備背景調査報告書。フォルダー７は日本語資料「OECD社会科学研究会議資料」を含む。
フォルダー２には日本語資料 の組織・構造の草案、報告 書などを含む。フォルダー３は研究論文「社会科学政策- 日本」を含む。

Box 4, Folder 5 Lockheed case files.
ロッキード事件ファイル 1976-1981
Scope and Content Note
Box 4: Two research reports on Japanese Prime Minister Kakuei Tanaka.

ボックス４：内閣総理大臣中角栄に関する研究 報告書（2冊）
Box 8: Lockheed Sales Mission: 70 Days in Tokyo by A.C. Kotchian and Lockheed case files which include correspondence, publications, clippings, and a transcript of Baerwald's "Lockheed and Japanese Politics" paper, his "Random Impressions of U.S. - Japanese Relations" article, a black and white photo of Kakuei Tanaka, and a copy of Chalmers Johnson’s paper, "The Rise and Decline of Tanaka Kakuei: The Problem of 'Structural Corruption' in the Japanese Political System." Materials also include Japanese clippings regarding the Lockheed case and opposition party and an investigation report by the U.S.
Scope and Content Note

Publications authored by Baerwald are numbered in accordance with the publications listed on his curriculum vitae (C.V.). Materials also include files on background materials for publications.


ボックス9：日本の議会政治の様相」とその日本語訳、「『第三の大国』の首相選及び自民党の総裁選一外国人はこうみ黑い霧と予備選挙運動」。

ボックス10：ベアワルド著「日本のエリート」、「日本人と政治文化」、日本語資料「日本人と政治文化」の評論とベアワルド以下の英語資料、「『七夕』参議院選挙」、「1983年12月の衆議院総選挙―連立政党の再興」、「第34回総選挙：不安が満たす中での変化」。

ボックス11：日本の政局政治」東京・日本語原版、英語・日本語の評論、国際交流基金への報告書「米国西海岸諸大学におけるカリフォルニアの政治」（日本語での出版のみ）、1983年選挙データ。

ボックス12：ベアワルドの文献を含む本や雑誌、「アジア研究」、日本語資料「日本の国会と外交政策」、「政経論叢」、サザー（コロンビア大学東アジア研究所）著の「保守党政院を日本を統治する術」、日本語資料「日本の国会の委員会制度」。

ボックス13：ベアワルドの出版物と携わった記事、日本語「指導者の退陣」（著：福井邦二郎）、ビンカー・H. オデガード、ハント・H. ベアワルド著「アメリカの政治体制」、「日本の政治の緊張状態：肯定と韓国」（1964年4月「アジア研究」）、ダン・N. ジョコブス、ハント・H. ベアワルド著「中国の共産主義」、「日本の政治の移り変わり」（「韓国と日本」）。「日本の共産党：代々木とそのライバル」（「アジアの共産主義革命」）、「複雑な兆候：第39回衆院選挙」、日本語資料「世界が変わり、アメリカも変わる」、「韓国」、日本語資料「世界が変わるのか」（「韓国」）。
Box 27, Folders 1-8

Publications.

Scope and Content Note

Box 28

Publications.

Scope and Content Note

Boxes 14-15

Elections research files.

Scope and Content Note

Box 14: Baerwald’s essay "Committees in the Japanese Diet," Japanese language results of Law Council, Committees proposal by Deputy Chief of Committee Section, political affiliation data, record of interviews with Former Prime Minister Toshiki Kaifu, copies of Diet law, Japanese correspondence and clippings, Japanese language publications regarding Japanese Diet, diplomacy, and political system. This box also contains Baerwald’s publications about the Japanese committee and election system.


Hans H. Baerwald papers, 1945-1991
Box 25
Elections research files.

Scope and Content Note
Box 25 and 26 contain tables concerning committees, factions, and elections (1965-1985), correspondence, articles and clippings regarding elections.


Box 26, Folders 1-10
Elections research files.

Scope and Content Note
Box 25 and 26 contain tables concerning committees, factions, and elections (1965-1985), correspondence, articles and clippings regarding elections.


Box 16
Occupation policy and the Diet research files.

Scope and Content Note
Background materials for Ward/Sakamoto book, Democracy in Japan, which ultimately became Baerwald's publication #70. Materials include Japanese language record of interviews with Testushiro Nishizawa and Shigesaburo Maeo, the 58th Chairman of the House of Representatives, record of the proceedings of the Diet regarding constitutions, and reports on the Diet Law.

Roberts・エドワード・ワード、坂本義和著の「日本の民主主義」への提供資料や論文（後の出版物#70）。また、以下の日本語資料を含む。第50代衆議院議長尾尾繁三郎と西沢哲四郎の対談録、憲法調査会第二委員会講義録、憲法国会法案委員会講録、国会や憲法に関する記事・論文（英語・日本語）も含む。
Container List

Boxes 17-20

Conference files.

コンファレンスファイル

Scope and Content Note

Box 17, 18, 19, 20, and 27 contain Baerwald’s notes from conferences, correspondence, and preparation work for conferences.


ボックス17: 東京新聞記事「米日首脳会談に思う」（ベアワルド著・日本語）、論文「『国会』と『議会』：対比と類似」の日本人政策者による論説（英語・日本語）の他、日本語資料、毎日新聞掲載の日米関係、日本の政治や経済に関するベアワルド著の記事、論文「日本 国内政治：『中曽根から竹下に流れ』」（1993年5月第3回ソビエト・アメリカコンファレンス準備資料）、再選を目指す竹下首相や一票の倍数

ボックス18: ベアワルド著「プレゼンテーション用論文「指導者追放」（「軍事統制とそのアラブ」1944-52ァニテー）、論文「アメリカの法学教育と日本との一部比較」（U.S.連合国務部間セミナーにて）と日本語

ボックス19: ベアワルド著「沖縄人を評す」、「政権Ａと登り橋」（1967）、ハンズ・H. ベアワルドとハナバー・・リスクリー著および日米関係に見る沖縄：未解決の危機的状況」（編集事務）、「日韓共同争議条約の批准」、「秋の7日間」、「日本の議会主義と朝鮮政治（研究ノート）」（1944-52）、平沢和男著の手紙、日本語・英語・フランス語の書簡、ポール・F. ランガ著の日本の国家安全政策一国内の決定要因」、ベアワルド著「議員の印象とその世界」、コンファレンス「明治の百年」で使われたベアワルドの講義ノート（『幕末返してみる明治時代』）、日本の総選挙とその直後のハック
Box 27, Folders 8-9
Conference files.

**Scope and Content Note**

ボックス8：日米関係 コンファレンス（1991年3月）に関連する資料。入江昭著の論文「50年の日米関係」やマイク・H. 望月著の「新しい時代の日米間の安全保障」などの論文を含む。

ボックス9：アメリカ・ソ連共同 コンファレンス（1977年5月）に関連する往復書簡、プログラム資料を含む。

Box 21
Lecture notes.

**Scope and Content Note**

Notes, outlines, and syllabi for Baerwald’s political science courses. Related materials include election data and statistics.

Box 21 includes various syllabuses and lecture notes for Baerwald’s political science courses at Miami University and UCLA.

ベアワルドの政治学の講義メモ、概要、摘要。関連資料に選挙データや統計なども含まれる。

ボックス21：歌川令三著の「変化する日米関係：日本からの視点」、ハンズ・H. ベアワルドと富田信男著の「第34回総選挙：不安定さを増す中での注目深い変化」

Boxes 22, Folders 1-3
Lecture notes.

**Scope and Content Note**

Notes, outlines, and syllabi for Baerwald’s political science courses. Related materials include election data and statistics.

Box 22 contains tables, graphs concerning elections, election data from Japanese newspapers, "Japan’s 34th General Election: Cautious Change Amidst Incremental Instability" by Hans H. Baerwald and Nobuo Tomita, and materials for last courses at UCLA 1990-1991.

ボックス22：選挙に関する表やグラフ、日本の新聞の選挙データ、ベアワルド著の「第39回衆議院総選挙」、「日本の政治と多国籍企業」。

Box 22, Folders 4-24
ICU-UC Exchange Program files.

**Scope and Content Note**

Correspondence, reports, English and Japanese memos, and clippings concerning Baerwald’s involvement with the International Christian University (ICU) - University of California Exchange Program.


ベアワルドが携わったICU-UC交換留学プログラムに関連する往復書簡、報告書、英語・日本語のメモ、記事切り抜き。

Box 23, Folders 1-8

ICU-UC Exchange Program files.

Scope and Content Note

Correspondence, reports, English and Japanese memos, and clippings concerning Baerwald’s involvement with the International Christian University (ICU) - University of California Exchange Program.


ボックス２３：ICU、学生運動に関連する論文・報告書、ICU会議メモ（１９６９年秋）、「ICUでの入学審査論争：教員間の対話」

Box 23, Folders 9-11

Economic bubble articles and clippings.

Scope and Content Note


日本のバブル経済に関する英・日本語の記事・論文。経済摩擦、法律、税制、日米貿易、日本の対外経済政策、日米安保条約などの内容が含まれる。「日本の知識人たちの見解」（東京アメリカ大使館）、「日本の税制：シャウプ氏の指名を振り返るインタビュー」（国際交流基金ニュースレター、1989年）、写真集「ゆるせない日からの記録：民主主義を守る斗いの三十日」、江藤淳検閲

Box 24

Japan studies essays and articles.

日本学に関する論文と記事

Scope and Content Note


日本学の分野におけるベアワルドの同僚による論文や記事（英・日本語）。

Box 26, Folders 11

Bio-Bib files.

伝記付著作目録ファイル 1970-1981

Scope and Content Note

Correspondence concerning Baerwald’s departmental reviews and related biographical and bibliography files. Folders also contain related articles and essays in Japanese and English about elections in Japan and the U.S. reaction, U.S. politics, Japanese politics and economics, and the relationship between Japan and Asia after the normalization of diplomatic relations between the U.S. and China.

ベアワルドのデパートメント・レビューに関する往復

書簡や関連する伝記・著作目録のファイル。日中交渉正常化後の日本とアジアの関係、日本の政治と経済、アメリカの政治
Japanese and English correspondence, clippings, notes, booklets, and reports concerning the UCLA-Japan Exchange Program (JEP) and the UCLA-Japan Research and Exchange Program (JAREP). Additional materials include related files on Baerwald’s trips to Japan.

Box 29: UCLA Certificate of Appreciation for Ryoichi Sasakawa, correspondence from Chancellor Charles E. Young to Mr. Sasakawa Ryoichi, Chairman of the Japan Shipbuilding Industry Foundation, in regard to creating a fund for UCLA-Japan exchange program, Baerwald’s proposal to the Japan Shipbuilding Industry Foundation (Nihon Sempaku Shinko-Kai) Sasakawa Ryoichi, Chairman, and Japanese language letter to Ryoichi Sasakawa.


Box 31: Baerwald’s Tokyo Trip Reports (JEP and JAREP), Japanese clippings concerning Japanese politics and U.S.-Japan relations, and The 13th regular election for the House of Councillors and the 37th Lower House General Election data and reports.

Box 32: Correspondence concerning funds, Japanese and English proposal to Roichi Sasakawa, Japanese language publications Baerwald’s 12 Japanese volumes about the U.S.-Japan relations, and Baerwald’s pictures.


Scope and Content Note
Files concerning Japanese Prime Ministers Tanaka, Miki, Fukuda, Ohira, Nakasone, Takeshita, Uno, and Kaifu. Both Box 33 and 34 include policy outlines, publications, election data and table, and clippings regarding election and prime ministers in English and Japanese.
### Scope and Content Note

**Files concerning Japanese Prime Ministers Tanaka, Miki, Fukuda, Nakasone, Takeshita, Uno, and Kaifu. Both Box 33 and 34 include policy outlines, publications, election data and table, and clippings regarding election and prime ministers in English and Japanese.**

**Box 34:** The political platform of Noboru Takeshita, Bush+Kaifu summit (April 1991), Baerwald’s response papers to Japanese language articles in Mainichi and Asahi about the Cabinet and political situation, “The Selection of LDP president” (1987), and Japan Report article about Noboru Takeshita.

**Showa Emperor research files.**

**Scope and Content Note**

Materials include press briefing, ”The Funeral Ceremonies for Emperor Showa and the Constitution of Japan: The Relationship between the State and Shinto,” Press Guidebook (Funeral Ceremonies for Emperor Showa), materials about Representatives from Foreign Countries and International Organizations Scheduled to Attend the Funeral Ceremony for Emperor Showa, an annotated typescript of Baerwald’s Japan American Society (JAS) of Southern California talk, and “The Showa Tenno and Japanese Politics.” Other related Japanese materials include clippings regarding the Emperor system, record of interviews, Showa Emperor’s monologue, and photographs of Showa Emperor.

**Boxes 35-36**

**Correspondence and lectures.**

**Scope and Content Note**

Materials include correspondence from Baerwald’s involvement with the UCLA Council on International and Comparative Studies and the Japan Research and Exchange Program (JAREP). Box 36 also contains a UCLA Committee on International and Comparative Studies guest book which includes names, addresses, and business cards.

**Boxes 37-40**

**Correspondence and lectures.**

**Scope and Content Note**

Materials include Japanese and English personal and professional correspondence, Baerwald’s lectures on Japan, clippings regarding elections with data, Japanese language published discussions, and his articles regarding U.S.-Japan relations.

**Hans H. Baerwald papers, 1945-1991**

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Boxes 41-43

Kodansha Encyclopedia of Japan.

**Scope and Content Note**

Box 41, 42, and 43 contain nine volumes of Kodansha Encyclopedia of Japan.

Box 41: vol. 1 (A-CONSD), vol. 2 (CONST-F), and vol. 3 (G-I).

Box 42: vol. 4 (J-LIBE), vol. 5 (LIBR-NIJI), and vol. 6 (NIJI-SAKA).

Box 43: vol. 7 (SAKE-TEMM), vol. 8 (TEMP-Z), vol. 9 (INDEX) as well as articles by Ulrich A. Straus, correspondence between Baerwald and Straus, and Straus' memoir typescript. The Straus materials include the following note; "Ulrich Straus was a good friend of Hans Baerwald from their shared childhood in Japan, through their professional lives which also centered on Japan. Dr. Straus served a number of State Dept. position including US Counsul General to Okinawa."

Boxes 44-48

Baerwald Documents.

**Scope and Content Note**

The Baerwald Documents series includes six binders of Baerwald's research materials, correspondence, drafts of publications, handwritten notes, and alphabetical brief biographical files.

Box 44: Materials include presurrender background of the purge, correspondence, general election, conference records, interview with Narahashi, Wataru, and CIE reports on Butokukai.

Box 45: Materials consist of CIE report on Butokukai (continuing), Screening Results of Officials and Generals, materials regarding Chokunin Rank Officials with the War and Navy Ministries, Japanese Secret Societies, History of Purge, and Project Status Reports.

Box 45 Folder 2 contains Baerwald’s binder of alphabetical brief biographical files: ABE, Senichi – HOKETSU, Kota.

Box 46: Binder of alphabetical brief biographical files: HOKETSU, Kota – KONDOH, Katsumi.

Box 47: Binder of alphabetical brief biographical files: KUMARA, Isonosuke – YUKAWA, Mototake.

Box 48: Documents include background materials of the purge, petitions for review of the purge decision, communist party materials, Interview records with politicians and leaders, List of Purgee, conference files, notes, and personal letters.

**Baerwaldの書類**

研究資料、出版物の草案、手書きメモ、アルファベット順の人名ファイルなどを含む6冊のバイインダーを含む。

**ボックス44**：公職追放の降伏前背景資料、往復書簡、総選挙資料、会議録、植松達との会談録、武徳会におけるCIE。

**ボックス45**：武徳会におけるCIE報告書（続）、軍司令官や高官の審査結果・公報、陸軍省・海軍省の勧告に関する資料。

**ボックス46**：アルファベット順の人名ファイルバイインダー（2冊）：阿部十一（あべせんいち）－阿部十四（あべせんじゅうし）、末尾巻。

**ボックス47**：アルファベット順の人名ファイルバイインダー：法華津孝太（ほかづ こうた）－こんどうかつみ。